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12-3-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Matthew M. Mock, et al. : Date: October 29, 2001  
Group Art Unit: Unassigned : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: Unassigned : Dept. 917, Bldg. 006-1  
Filed: Herewith : 3605 Highway 52 North  
Title: METHOD AND APPARATUS FOR : Rochester, MN 55901  
DATA RECOVERY OPTIMIZATION  
IN A LOGICALLY PARTITIONED  
COMPUTER SYSTEM



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. (Unassigned)  
Docket No. ROC920010325US1

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This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

**EXPRESS MAIL CERTIFICATE**

Express Mail No. EJ219188113US

Date: October 29, 2001

I certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Steven W. Roth

Respectfully submitted,

MATTHEW M. MOCK, et al.

By 

Roy W. Truelson

Attorney Reg. No. 34,265

Telephone: 1-507-289-6256

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Serial No. (Unassigned)

Docket No. ROC920010325US1

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENTATTY. DOCKET NO.  
ROC920010325US1

SERIAL NO. (Unassigned)

APPLICANT: Matthew M. Mock, et al.

(Use several sheets if necessary) Page 1 of 1

FILING DATE: (Herewith)

GROUP: (Unassigned)

11002 U.S. PRO

10/07/98



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA	5	6	2	5	8	2	0	4/29/1997	Hermesmeier, et al.	395	618	
	AB	5	5	7	4	8	9	7	11/12/1996	Hermesmeier, et al.	395	600	
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR													
	AS													
	AT													

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.